



PATENT Attorney Docket No. <u>0320-0014</u> (formerly HOOV 114)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re application of:

Michael D. Hooven

Serial No. 10/038,506

Filed: November 9, 2001

Group Art No.: 3739

Examiner: Rosiland K. Rollins

For: TRANSMURAL ABLATION

DEVICE WITH PARALLEL

JAWS

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

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- 1. Transmittal Form PTO/SB/21 (1 sheet);
- 2. Information Disclosure Statement (2 pages)
- 3. Information Disclosure Statement Form PTO/SB/08a (1 sheet);
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			Application Number		10/038,506		
TRANSMITTAL FORM			Filing Date		November 9, 2001		
			First Named Invent	or	Michael D. Hooven		
FEB 2 7 2006 (L)			Art Unit		3739		
RADE (1800) used for	all correspondence after in	nitial filing)	Examiner Name		Rosiland K. Rollins		
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Printed name	Renee C. Barthel	Joseph Committee of the	-4		<u> </u>		
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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NAME Renée C. Barthel

SIGNAT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. § 1.97, Applicant hereby calls the Examiner's attention to the document listed on the attached form, which document may be material to the examination of this application.

No inference should be drawn that any apparatus or method disclosed is equivalent to the subject invention. Also, the citation of the above-discussed document is not to be construed as an assertion that more pertinent art could not possibly be in existence. Citation of the document herein is not to be construed as an admission that any subject

matter disclosed in the document is necessarily within the inventive field of endeavor, that

any disclosure is necessarily prior in time to a particular date which may be relevant to the

instant patent application, and/or that any disclosure is otherwise necessarily prior art with

respect to the instant invention.

Applicant also respectfully reserves the right to later set forth how the instant

invention is distinguished over the disclosure of any document or other art, including the

disclosure of the document discussed herein, that may be cited by the Examiner in

rejecting a claim in the present patent application.

This Information Disclosure Statement is being filed after the filing of a Request for

Continued Examination and before the mailing date of a first Office Action after such RCE

filing. Consequently, as provided in 37 C.F.R. § 1.97(c), a fee under § 1.17(p) is not

enclosed. However, if any fees are required, authorization is hereby given to charge

Deposit Account No. 50-1039.

Respectfully submitted,

Date: February 27, 2006

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Registration No. 48,356

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Attorneys for Applicant

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Substitute for form 1449A/PTO

Examiner

Signature

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 1

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First Named Inventor	Michael D. Hooven	13	700 3
Art Unit	3739	1/2	008.
Examiner Name	Rosiland S. Rollins	ADE	MOSFICE
Attorney Docket Number	0320-0014		MAINE

				<u> </u>	S. PAT	ENT DOCUM	ENTS			
Document Number Examiner Cite nitials No.1 Number Kind Code (if known)			Publication Date MM-DD-YYYY		Name of Patentee or Applicant of Cited Document			Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Date

Considered

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.